

Broker of record change
request group benefits

Principal Life Insurance Company
Principal National Life Insurance Company
Principal Securities, Inc.
Members of Principal Financial Group®



Please complete the following information to change the financial professional and/or firm. **Please print legibly and return both pages of the form.**

1. Contract policy information

Contract policy name:

Name

Contract policy number(s) (Typically 7 digits) Please attach list if more than three policies:

Note: Effective date will be the first day of the month following the received date, unless a future date is specified.

Optional future effective date: (mm/dd/yyyy) _____

2. Signature

Authorized signature of policy owner.

Date (mm/dd/yyyy):

X

Signature

_____/_____/_____
Date

see attached BOR letter for signature

Printed name

Title

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Insurance issued by Principal Life Insurance Company, Des Moines, IA 50306
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3. New Broker of Record information

Complete the following for the new financial professional:

Full name of the servicing agent:

First name Middle initial Last name Email address: (required)

SSN (Last four digits required): Payee statement code(s) with Principal: Percentage:

Firm affiliation (if commissions paid to the firm): Tax ID:

4. New Broker of Record information (only needed if more than one broker)

Complete the following for the new financial professional:

Full name of the servicing agent:

First name Middle initial Last name Email address: (required)

SSN (Last four digits required): Payee statement code(s) with Principal: Percentage:

Firm affiliation (if commissions paid to the firm): Tax ID:

5. General agent:

Firm affiliation, if paying to agency (if applicable): Tax ID: Payee statement code(s) with Principal:

Note:

- Please note this form must be filled out in it's entirety.
- Financial Professionals: to expedite your request, ensure the proper state license and appointments are active.

Please send this completed form to your local field office.

Questions
Marketer Services
800-388-4793